

## **Appendix 2e: Other Audits and Grant Claims**

### **Troubled Families Programme, Payments by Results Scheme Grant**

#### **Objective**

To assess compliance with the terms and conditions of the Ministry of Housing, Communities and Local Government's (MHCLG) Financial Framework for making Payment by Result (PBR) claims under the Expanded Troubled Families Programme (Phase 2).

#### **Background**

The Financial Framework requires that Internal Audit verifies a 10% representative sample of PBR claims before they are made to ensure there is supporting evidence to confirm families:

- met the required criteria to be considered for entry to the expanded Troubled Families Programme
- have achieved either continuous employment or significant and sustained progress as defined by the Council's agreed Outcomes Plan.

Larger sample sizes may be required for smaller claims in order to ensure the audit is meaningful.

#### **Conclusion**

Between March and June, 65 PBR claims were presented for audit prior to submission to the MHCLG.

The Team Manager reviews a minimum of 10% of claims to confirm appropriate evidence has been provided.

Of the 65 claims presented, the Team Manager reviewed 20. Internal Audit randomly selected nine claims for review, which included four checked by the Team Manager. For all but one claim, suitable evidence was available to support:

- entry into the programme
- a claim for either significant and sustained progress, or continuous / subsequent employment.

One claim submitted under the significant and sustained progress outcome was withdrawn as evidence was not available to demonstrate that the school's original concerns around children arriving late to school had been suitably addressed as required by the Council's agreed Outcomes Plan.

This is the only case that has been withdrawn since September 2018 which demonstrates that the Team Manager's quality assurance checks are generally working effectively